

# Anti-collusion Fingerprinting for Multimedia

Wade Trappe, *Member, IEEE*, Min Wu, *Member, IEEE*, Z. Jane Wang, *Member, IEEE*, and K. J. Ray Liu, *Fellow, IEEE*

**Abstract**—Digital fingerprinting is a technique for identifying users who use multimedia content for unintended purposes, such as redistribution. These fingerprints are typically embedded into the content using watermarking techniques that are designed to be robust to a variety of attacks. A cost-effective attack against such digital fingerprints is collusion, where several differently marked copies of the same content are combined to disrupt the underlying fingerprints. In this paper, we investigate the problem of designing fingerprints that can withstand collusion and allow for the identification of colluders. We begin by introducing the collusion problem for additive embedding. We then study the effect that averaging collusion has on orthogonal modulation. We introduce a tree-structured detection algorithm for identifying the fingerprints associated with  $K$  colluders that requires  $\mathcal{O}(K \log(n/K))$  correlations for a group of  $n$  users. We next develop a fingerprinting scheme based on code modulation that does not require as many basis signals as orthogonal modulation. We propose a new class of codes, called anti-collusion codes (ACCs), which have the property that the composition of any subset of  $K$  or fewer codevectors is unique. Using this property, we can therefore identify groups of  $K$  or fewer colluders. We present a construction of binary-valued ACC under the logical AND operation that uses the theory of combinatorial designs and is suitable for both the on-off keying and antipodal form of binary code modulation. In order to accommodate  $n$  users, our code construction requires only  $\mathcal{O}(\sqrt{n})$  orthogonal signals for a given number of colluders. We introduce three different detection strategies that can be used with our ACC for identifying a suspect set of colluders. We demonstrate the performance of our ACC for fingerprinting multimedia and identifying colluders through experiments using Gaussian signals and real images.

**Index Terms**—Collusion, collusion resistance, data embedding, multimedia fingerprinting.

## I. INTRODUCTION

THE ADVANCEMENT of multimedia technologies, coupled with the development of an infrastructure of ubiquitous broadband communication networks, promises to facilitate the development of a digital marketplace where a broad range of multimedia content, such as image, video, audio, and speech, will be available. However, such an advantage also poses the challenging task of insuring that content is appropriately used. Before viable businesses can be established to market content

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W. Trappe is with the Wireless Information Network Laboratory and the Department of Electrical and Computer Engineering, Rutgers University, Piscataway, NJ 08854 USA (e-mail: trappe@winlab.rutgers.edu).

M. Wu, Z. J. Wang, and K. J. R. Liu are with the Department of Electrical and Computer Engineering, University of Maryland, College Park, MD 20742 USA (e-mail: minwu@eng.umd.edu; wangzhen@eng.umd.edu; kjrliu@eng.umd.edu).

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on these networks, mechanisms must be in place to ensure that content is used for its intended purpose and by legitimate users who have purchased appropriate distribution rights.

Although access control is an essential element to ensure that content is used by its intended recipients, it is not sufficient for protecting the value of the content. The protection provided by encryption disappears when the content is no longer in the protected domain. Regardless of whether the content is stored in an unencrypted format or decrypted prior to rendering, it is feasible for users to access clear-text representations of the content. Users can then redistribute unencrypted representations, which affects the digital rights of the original media distributors.

In order to control the redistribution of content, digital fingerprinting is used to trace the consumers who use their content for unintended purposes [1]–[4]. These fingerprints can be embedded in multimedia content through a variety of watermarking techniques [2], [5]–[10]. Conventional watermarking techniques are concerned with robustness against a variety of attacks such as filtering but do not always address robustness to attacks mounted by a coalition of users with the same content that contains different marks. These attacks, which are known as *collusion* attacks, can provide a cost-effective approach to removing an identifying watermark. One of the simplest approaches to performing a collusion attack on multimedia is to average multiple copies of the content together [11]. Other collusion attacks might involve forming a new content by selecting different pixels or blocks from the different colluders' content. By gathering a large enough coalition of colluders, it is possible to sufficiently attenuate each of the colluders' identifying fingerprints and produce a new version of the content with no detectable fingerprints. It is therefore important to design fingerprints that are not only able to resist collusion but are also able to identify the colluders and thereby provide a means to discourage attempts at collusion by the users.

### A. Prior Art

One of the first works on designing fingerprints that are resistant to collusion was presented by Boneh and Shaw [4]. This work considered the problem of fingerprinting generic data that satisfied an underlying principle referred to as the *marking assumption*. A mark was modeled as a position in a digital object that could be in a finite number of different states, whereas a fingerprint was a collection of marks. A mark is considered detectable when a coalition of users do not all have the same mark in that position. The marking assumption states that the undetectable marks cannot arbitrarily be changed without rendering the object useless. However, it is considered possible for the colluding set to change a detectable mark to any other state or into an unreadable state. Under their collusion framework, Boneh and Shaw show that it is not possible to design *totally c-secure*

*codes*, which are fingerprint codes that are capable of tracing at least one colluder out of a coalition of at most  $c$  colluders. Instead, they use randomization techniques to construct codes that are able to capture at least one colluder out of a coalition of at most  $c$  colluders with arbitrarily high probability.

A similar work was presented in [3]. This work is concerned with the distribution of large amounts of content, such as through television broadcasts, where each user has a decoder that contains a set of keys needed to decrypt the broadcast content. Users might collude to create a pirate decoder that consists of keys from some of the colluders' decoders. When a pirate decoder is captured, the goal is then to be able to trace and identify at least one of the colluders involved in creating the illicit device. Thus, the goal is not to trace the leakage of the content but, rather, to trace the decryption keys needed to access the content. In this case, the challenge lies in reducing the size of the ciphertext from being linear in the amount of users.

In both of these cases, the ability to trace or identify a colluder relied on the fact that the identifying information cannot be blindly altered by the coalition. In particular, the construction of the fingerprinting schemes for generic data, as presented in [4], relies on the validity of the marking assumption. One key difference between generic data and multimedia data is that multimedia data is perceptually insensitive to minor perturbations in the data values. This perceptual robustness makes it feasible to invisibly embed digital fingerprints in the multimedia. Rather than attaching fingerprints in headers, embedding will tie the fingerprint with the host multimedia signal and make the fingerprint resilient to format conversion, compression, and other moderate distortions. Watermarking techniques that embed information in multimedia invisibly, such as those in [5] and [6], can be used to embed digital fingerprints. While generic data may allow long fingerprint marks to be attached to them, the number of marks that can be embedded in multimedia data and accurately extracted after distortion by hostile parties is limited [12], [13]. Thus, the long fingerprint codes proposed for generic data may not even be embeddable in multimedia data. The process of fingerprinting multimedia should, therefore, jointly consider the design of appropriate fingerprints and the efficient and effective detection of these fingerprints. Furthermore, unlike Boneh and Shaw's assumption for generic data, where adversaries can easily manipulate the detectable marks to any value, different bits of fingerprint codes that are additively embedded in multimedia may not be easily identifiable and arbitrarily manipulated by colluders. Linear collusion attacks, such as averaging several fingerprinted signals, are often more feasible for multimedia [11].

The resistance of digital watermarks to linear collusion attacks has been studied [11], [14]–[17]. In [14], the original document is perturbed by the marking process to produce fingerprinted documents with a bounded distortion from the original document. They propose a collusion attack that consists of adding a small amount of noise to the average of  $K$  fingerprinted documents. In their analysis, they show that  $O(\sqrt{N}/\log N)$  adversaries are sufficient to defeat the underlying watermarks, where  $N$  is the dimensionality of the fingerprint. This result sup-

ports the claim of [15], where the watermarks are assumed to be uncorrelated Gaussian random vectors that are added to the host vector to produce fingerprinted documents.

Further work on the resistance of digital watermarks to collusion attacks was done in [16]. They consider a more general linear attack than [14], where the colluders employ multiple-input-single-output linear shift-invariant (LSI) filtering plus additive Gaussian noise to thwart the orthogonal fingerprints. Under the assumption that the fingerprints are independent and have identical statistical characteristics, they show that the optimal LSI attack involves each user weighting their marked document equally prior to the addition of additive noise. Additionally, they investigated an alternative fingerprinting strategy by embedding  $c$ -secure codes, such as those described in [4] and [18], and studied the amount of samples needed in order for the marking assumption to hold while maintaining a prescribed probability of falsely identifying a colluder. Their fingerprinting capacity study suggested that independent fingerprints require shorter sequence length than fingerprints constructed from  $c$ -secure codes.

Finally, a different perspective on collusion for multimedia was presented in [19]. A watermark conveying access and usage policy is embedded in the multimedia content. Different users' media players use different variations of the watermark to correlate with marked content in detection. Each detection key is the sum of the watermark and a strong, independent Gaussian random vector that serves as a digital fingerprint. When an attacker breaks one device, obtains the detection key inside, and subtracts the key from the watermarked content, the watermark will not be completely removed from the attacked copy, and a fingerprint signal will remain in the attacked copy that indicates the attacker's identity. The paper quantitatively analyzed the collusion resistance issues and discussed related problems of segmentation and key compression.

### B. Paper Organization

The work of [16] suggested that independent, or orthogonal, fingerprints are advantageous to fingerprints built using collusion-secure codes. However, several disadvantages for orthogonal fingerprints remain, such as the high computational complexity required in detection and the large storage requirements needed to maintain a library of fingerprints. In this paper, we address these disadvantages by proposing a tree-structured detection scheme for orthogonal fingerprints and introducing a new class of codes for constructing fingerprints that require fewer storage resources. Our results are suitable for both averaging-based collusion attacks and for collusion attacks that interleave values or pixels from differently marked versions of the same content. For the convenience of discussion, we will use images as an example, whereas the extension to audio or video is quite straightforward.

We begin, in Section II, by describing multimedia fingerprinting and introduce the problem of user collusion for a class of additive watermark schemes. We then review orthogonal modulation in Section III and examine the effect that collusion has on orthogonal fingerprinting. In order to overcome the linear complexity associated with traditional detection schemes

for orthogonal modulation, we develop a tree-based detection scheme that is able to efficiently identify  $K$  colluders with an amount of correlations that is logarithmic in the number of basis vectors. However, storage demands remain high, and it is desirable that we use fewer basis signals to accommodate a given amount of users. Therefore, in Section IV, we propose the use of a class of codes, which we call anti-collusion codes (ACCs), which are used in code-modulated embedding. The resulting fingerprints are appropriate for different multimedia scenarios. The purpose of ACCs is not only to resist collusion but also to trace who the colluders are. The proposed ACCs have the property that the composition of any subset of  $K$  or fewer codewectors is unique, which allows us to identify groups of  $K$  or fewer colluders. We present a construction of binary-valued ACC under the logical AND operation that uses the theory of combinatorial designs. For a given number of colluders, our code construction is able to accommodate  $n$  users while requiring only  $\mathcal{O}(\sqrt{n})$  basis vectors. We study the detector and present three different strategies that may be employed for identifying a suspect set of colluders. We evaluate the performance of these detection strategies using simulations involving an abstract model consisting of Gaussian signals. We also examine the behavior of our fingerprints using actual images. Finally, we present conclusions in Section V and provide a proof in the Appendix.

## II. FINGERPRINTING AND COLLUSION

In this section, we will review additive embedding, where a watermark signal is added to a host signal. Suppose that the host signal is a vector denoted as  $\mathbf{x}$  and that we have a family of watermarks  $\{\mathbf{w}_j\}$  that are fingerprints associated with the different users who purchase the rights to access  $\mathbf{x}$ . Before the watermarks are added to the host signal, every component of each  $\mathbf{w}_j$  is scaled by an appropriate factor, i.e.,  $s_j(l) = \alpha(l)w_j(l)$ , where we refer to the  $l$ th component of a vector  $\mathbf{w}_j$  by  $w_j(l)$ . One possibility for  $\alpha(l)$  is to use the *just-noticeable-difference* (JND) from human visual system models [6]. Corresponding to each user is a marked version of the content  $\mathbf{y}_j = \mathbf{x} + \mathbf{s}_j$ . The content may experience additional distortion before it is tested for the presence of the watermark  $\mathbf{s}_j$ . This additional noise could be due to the effects of compression or from attacks mounted by adversaries in an attempt to hinder the detection of the watermark. We represent this additional distortion by  $\mathbf{z}$ . There are therefore two possible sources of interference hindering the detection of the watermark: the underlying host signal  $\mathbf{x}$  and the distortion  $\mathbf{z}$ . For simplicity of notation, we gather both of these possible distortions into a single term denoted by  $\mathbf{d}$ . As we will discuss later, in some detection scenarios, it is possible for  $\mathbf{d}$  to only consist of  $\mathbf{z}$ . A test content  $\mathbf{y}$  that originates from user  $j$  can thus be modeled by

$$\mathbf{y} = \mathbf{s}_j + \mathbf{d}. \quad (1)$$

The watermarks  $\{\mathbf{w}_j\}$  are often chosen to be orthogonal noise-like signals [5] or are represented using a basis of  $B$  orthogonal noiselike signals  $\{\mathbf{u}_i\}$  via

$$\mathbf{w}_j = \sum_{i=1}^B b_{ij} \mathbf{u}_i \quad (2)$$

where  $b_{ij} \in \{0, 1\}$  or  $b_{ij} \in \{\pm 1\}$  [20]. We will present detailed discussions on different ways to construct watermarks for fingerprinting purposes in Sections III and IV.

One important application of fingerprinting is identifying a user who is redistributing marked content  $\mathbf{y}_j$  by detecting the watermark associated with the user to whom  $\mathbf{y}_j$  was sold. By identifying a user, the content owner may be able to more closely monitor future actions of that user or gather evidence supporting that user's illicit usage of the content. There are two different detection strategies that might arise in fingerprinting applications. They are differentiated by the presence or lack of the original content in the detection process. We will refer to *nonblind* detection as the process of detecting the embedded watermarks with the assistance of the original content  $\mathbf{x}$  and refer to *blind* detection as the process of detecting the embedded watermarks without the knowledge of the original content  $\mathbf{x}$ . Nonblind fingerprint detection requires that the entity performing detection first identify the original version corresponding to the test image from a database of unmarked original images. This database can often be very large and requires considerable storage resources. In the nonblind fingerprint detection, the distortion can be modeled as  $\mathbf{d} = \mathbf{z}$ . Blind detection, on the other hand, offers more flexibility in detection, such as distributed detection scenarios. It does not require vast storage resources and does not have the computational burden associated with image registration from a large database. This is particularly attractive for enabling fingerprint detection by distributed verification engines. However, unlike the nonblind detection scenario, in the blind detection scenario, the host signal is unknown to the detector and often serves as a noise source that hinders the ability to detect the watermark.<sup>1</sup> In this case, the distortion can be modeled as  $\mathbf{d} = \mathbf{x} + \mathbf{z}$ .

The detection of additive watermarks can be formulated as a hypothesis testing problem, where the embedded data is considered as the signal that is to be detected in the presence of noise. For the popular spread spectrum embedding [5], [6], the detection performance can be studied via the following simplified antipodal model:

$$\begin{cases} H_0: y(l) = -s(l) + d(l) & (l = 1, \dots, N), \text{ if } b = -1 \\ H_1: y(l) = +s(l) + d(l) & (l = 1, \dots, N), \text{ if } b = +1 \end{cases} \quad (3)$$

where  $\{s(l)\}$  is a deterministic spreading sequence (often called the *watermark*),  $b$  is the bit to be embedded and is used to antipodally modulate  $s(l)$ ,  $d(l)$  is the total noise, and  $N$  is the number of samples/coefficients used to carry the hidden information. If  $d(l)$  is modeled as i.i.d. Gaussian  $\mathcal{N}(0, \sigma_d^2)$ , the optimal detector is a (normalized) correlator [22] with a detection statistic  $T_N$  given by

$$T_N = \mathbf{y}^T \mathbf{s} / \sqrt{\sigma_d^2 \cdot \|\mathbf{s}\|^2} \quad (4)$$

where  $\mathbf{y} = [y(1), \dots, y(N)]^T$ ,  $\mathbf{s} = [s(1), \dots, s(N)]^T$ , and  $\|\mathbf{s}\|$  is the Euclidean norm of  $\mathbf{s}$ . Under the i.i.d. Gaussian as-

<sup>1</sup>Note that there are other types of watermarking schemes that do not suffer from interference from unknown host signals [12], [21]. Their appropriateness for fingerprinting and anti-collusion capabilities are to be investigated and will be addressed in our future work.

sumption for  $d(l)$ ,  $T_N$  is Gaussian distributed with unit variance and a mean value

$$E(T_N) = b \cdot \sqrt{\|\mathbf{s}\|^2 / \sigma_d^2}. \quad (5)$$

If  $b$  is equally likely to be “−1” and “+1,” the optimal (Bayesian) detection rule is to compare  $T_N$  with a threshold of zero to decide  $H_0$  against  $H_1$ , in which case, the probability of error is  $\mathcal{Q}(E(T_N))$ , where  $\mathcal{Q}(x)$  is the probability  $P(X > x)$  of a Gaussian random variable  $X \sim \mathcal{N}(0, 1)$ . The error probability can be reduced by raising the watermark-to-noise-ratio (WNR)  $\|\mathbf{s}\|^2 / (N\sigma_d^2)$ , or increasing the length  $N$  of the spreading sequence per bit. The maximum watermark power is generally determined by perceptual models so that the changes introduced by the watermark are below the JND [6]. Assuming that both  $\{s(l)\}$  and  $\{d(l)\}$  are zero mean,  $\sigma_d^2$  is estimated from the power of  $y(l)$  and  $s(l)$ , for example, via  $\hat{\sigma}_d^2 = (\|y\|^2 - \|\mathbf{s}\|^2)/N$ .

The i.i.d. Gaussian noise assumption is critical for the optimality of a correlator-type detector, but it may not reflect the statistical characteristics of the actual noise and interference. For example, the noise and interference in different frequency bands can differ. In such a scenario, we should first normalize the observations  $\{y(l)\}$  by the corresponding noise standard deviation to make the noise distribution i.i.d. before taking the correlation [23]. That is

$$T'_N = \sum_{l=1}^N \frac{y(l) \cdot s(l)}{\sigma_{d(l)}^2} \Bigg/ \sqrt{\sum_{l=1}^N \frac{s^2(l)}{\sigma_{d(l)}^2}} \quad (6)$$

and

$$E(T'_N) = b \sqrt{\sum_{l=1}^N \frac{s^2(l)}{\sigma_{d(l)}^2}}. \quad (7)$$

This can be understood as a weighted correlator with more weight given to less noisy components. Similarly, colored Gaussian noise needs to be whitened before correlation [2]. In reality, the interference from the host signal, as well as the noise introduced by many attacks and distortions, are often non-Gaussian and nonstationary. Under these scenarios, an optimal detector can be derived by using a non-Gaussian and/or nonstationary noise model in the classic detection framework [22], [24]. For example, generalized matched filters have been proposed as watermark decoders for the generalized Gaussian channel model [25], [26]. Channel estimation has also been used in conjunction with the generalized matched filter against fading and geometrical distortions with unknown parameters [25].

For concept proving purposes, in this paper, we consider the simple noise model of independent Gaussian noise and use the correlator with normalized noise variance as described in (6). This simplification allows us to focus on the unique issues of fingerprint encoding and colluder detection for the anti-collusion fingerprinting problem. From a layered viewpoint on data hiding systems [20], the modules of fingerprint encoding and colluder detection are built on top of the modules of one-bit watermark embedding and detection. The design and optimization of the former and latter modules have some degree of independence in system development. We can replace the simplified model used here with more sophisticated single-watermark

detectors considering more realistic noise such as those in [25] and [26] to improve the performance in the watermark detection layer and, in turn, enhance the overall performance.

Another model, which is used often for conveying ownership information [5], [6], leads to a similar hypothesis testing problem described by

$$\begin{cases} H_0: y(l) = d(l), & (l = 1, \dots, N) \\ & \text{if watermark is absent} \\ H_1: y(l) = s(l) + d(l), & (l = 1, \dots, N) \\ & \text{if watermark is present} \end{cases} \quad (8)$$

This is often referred as *On-Off Keying* (OOK). The detection statistic is the same as shown in (4) for additive white Gaussian noise (AWGN) or (6) for independent Gaussian noise with non-identical variance. The threshold for distinguishing the two hypotheses is a classic detection problem for which we can use a Bayesian rule or a Neyman-Pearson rule [22]. The probability of detection errors can be obtained accordingly.

In the following sections, we will examine collusion for fingerprints constructed using orthogonal modulation as well as using binary code modulation. When two parties with the same image (but fingerprinted differently) come together, they can compare the difference between the two fingerprinted images. The collusion attack generates a new image from the two fingerprinted images so that the traces of either fingerprint in the new image is removed or attenuated. For fingerprinting through additive embedding, this can be done by averaging the two fingerprinted images  $\lambda_1 \mathbf{y}_1 + \lambda_2 \mathbf{y}_2$ , where  $\lambda_1 + \lambda_2 = 1$ , so that the energy of each of the fingerprints is reduced by a factor of  $\lambda_i^2$ . The requirement that  $\lambda_1 + \lambda_2 = 1$  is necessary in order to maintain the average intensity of the original image. As a result of this weighted average, the detection statistic with respect to the  $i$ th fingerprint is scaled by a factor of  $\lambda_i$ . In a  $K$ -colluder averaging collusion, the watermarked content signals  $\mathbf{y}_j$  are combined according to  $\sum_{j=1}^K \lambda_j \mathbf{y}_j$ . Alternatively, the new image can be formed by taking part of the pixels or transform coefficients from each of the two images  $\mathbf{A}\mathbf{y}_1 + (\mathbf{I} - \mathbf{A})\mathbf{y}_2$ , where  $\mathbf{I}$  is the  $N \times N$  identity matrix, and  $\mathbf{A} = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_N)$  with  $\lambda_i \in \{0, 1\}$ . In terms of the effects on the energy reduction of the original fingerprints and the effect it has on the detection performance, this alternating type of collusion is similar to the averaging type of collusion. For this reason, we will only consider the averaging type collusion. Further, we will take  $\lambda_j = 1/K$  for all  $j$  in the remainder of this paper and introduce additional distortion noise  $\mathbf{z}$  following the averaging. We illustrate the model for this type of collusion in Fig. 1. Our model for collusion is similar model to the collusion models used in [14] and [16]. We note, however, that there may exist cases in which the underlying fingerprints will not necessarily have the same energy, or be independent of each other, and that other choices for  $\lambda_j$  might be more appropriate. These cases are beyond the scope of the current paper.

### III. ORTHOGONAL MODULATION AND ANTICOLLUSION

In this section, we will focus on the methods of orthogonal modulation [27] for embedding unique fingerprints in multiple copies of images. In orthogonal modulation, there are  $v$  orthogonal signals  $\mathbf{s}_j$  that are used to convey  $B = \log_2 v$  bits by in-

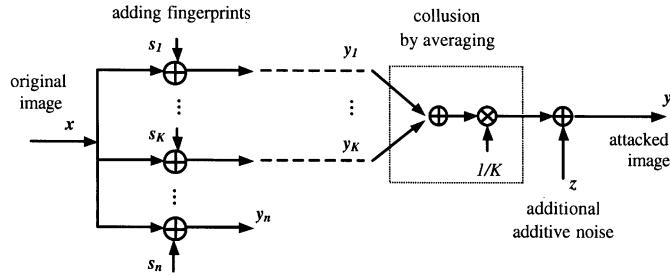


Fig. 1. Model for collusion by averaging.

serting one of the  $v$  signals into the host signal. These  $B$  bits can be used to identify the  $n$  users by identifying a  $B$ -bit ID sequence with each user, and therefore, we have  $n = v$ . The detector determines the  $B$  information bits by performing the correlation of the test signal with each of the  $v$  signals and decides the signal that has the largest correlation above a minimum threshold. Typically,  $v$  correlations are used to determine the embedded signal, and the computational complexity associated with performing  $v$  correlations is considered to be one of the drawbacks of orthogonal modulation. In Section III-A, we present an improved detection strategy that cuts the computational complexity from  $\mathcal{O}(v)$  to  $\mathcal{O}(\log v)$ .

An additional drawback for using orthogonal modulation in data embedding is the large number of orthogonal signals needed to convey  $B$  bits. In many situations, it might not be possible to find  $2^B$  orthogonal signals in the content. In audio applications, it might be desirable to periodically repeat a watermark embedding in the content in order to fingerprint clips from the audio. In this case, the number of orthogonal basis signals available is limited by the sample rate. For example, if we repeat a watermark every second in an audio signal with a 44.1-kHz sample rate, then we can provide unique, orthogonal fingerprints to at most 44 100 users. Although other media, such as images and video, might have more points per embedding period, many of these degrees of freedom will be lost since embedding should only take place in perceptually significant components [5]. In particular, some content, such as smoothly textured images and binary images, are known to have a significantly lower embedding rate than what is suggested by the amount of points in the image. Further, the necessary bookkeeping and storage of the  $v = 2^B$  basis vectors, or a set of keys for generating them, is another drawback of orthogonal modulation. In Section IV, we build watermarks using code modulation that are able to accommodate more users than orthogonal modulation for the same amount of orthogonal vectors.

We can study the effect of collusion on orthogonal modulation by calculating the distance between the constellation points and averages of the constellation points. Additionally, since the goal of collusion is to create a new content whose watermarks have been sufficiently attenuated that they are undetectable, we would like to calculate the distance between the averages of the constellation points and the origin. In an additive white Gaussian noise model, the Euclidean distance between the constellation points, as well as the distance between the constellation points and the origin, are directly related to the probability of detection through the argument of

a  $Q$  function [27]. Smaller distances lead to higher probability of detection error.

Suppose each watermark has  $\mathcal{E}$  energy. If we average  $K$  watermarks, then the distance from the colluded mark to any of the watermarks used in forming it is  $\sqrt{\mathcal{E}(K-1)/K}$ . The distance from the colluded mark to any of the other watermarks not used in the collusion is  $\sqrt{\mathcal{E}(K+1)/K}$ . Further, the distance of the colluded mark from the origin is  $\sqrt{\mathcal{E}/K}$ . Thus, as  $K$  increases, the identifying watermarks in the colluded mark will become harder to detect.

#### A. Tree-Structured Detection Strategy for Orthogonally Modulated Fingerprints

The classical method for estimating which signal was embedded in the host signal is done via  $v$  correlators and determines the  $B$ -bit message that identifies which user's watermark was present. This has been considered a major drawback of the method of orthogonal modulation [5], [28]. In this section, we present an algorithm that reduces the computation needed to detect which watermarks are present in a host signal.

Suppose that  $K$  colluders are involved in forming a colluded signal  $\mathbf{y}_c$ . We desire to identify the basis vectors of these  $K$  colluders. For a set  $A = \{\mathbf{w}_j\}_{j \in J}$  where  $J$  is an indexing set, we define the sum of  $A$  by  $\text{SUM}(A) = \sum_{j \in J} \mathbf{w}_j$ . We start by considering the case of detecting 1 watermark. Let us denote by  $S = \{\mathbf{w}_1, \dots, \mathbf{w}_v\}$  the set of orthogonal watermark signals, and suppose the test signal is  $\mathbf{y}$ . Suppose that we break  $S$  into two complementary subsets  $S_0$  and  $S_1$ . If we correlate the test signal  $\mathbf{y}$  with  $\text{SUM}(S_0)$ , then the correlation will satisfy

$$\left\langle \mathbf{y}, \sum_{\mathbf{w}_j \in S_0} \mathbf{w}_j \right\rangle = \sum_{\mathbf{w}_j \in S_0} \langle \mathbf{y}, \mathbf{w}_j \rangle \quad (9)$$

where  $\langle \mathbf{y}, \mathbf{w} \rangle$  denotes a correlation statistic, such as is described in (4). If the one watermark we desire to detect belongs to the set  $S_0$ , then  $\langle \mathbf{y}, \text{SUM}(S_0) \rangle$  will experience a large contribution from that one basis vector, and all the other terms will have small values. If this watermark is not present in  $S_0$ , then  $\langle \mathbf{y}, \text{SUM}(S_0) \rangle$  will consist only of small contributions due to noise. Therefore, if we test two sets  $S_0$  and  $S_1$  such that  $S_1 = S \setminus S_0$ , then we are likely to get a large value in at least one of the two correlations with the sum of the basis vectors. We can repeat this idea by further decomposing  $S_0$  and/or  $S_1$  if they pass a threshold test. This idea can be extended to detecting the presence of  $K$  orthogonal signals. At each stage, we test two sets  $S_0$  and  $S_1$ , and if a set passes a threshold test, then we further decompose it.

We use this idea to develop a recursive detection algorithm for detecting the presence of  $K$  orthogonal signals in a test signal  $\mathbf{y}$ . In Algorithm 1, we begin by initially splitting the set  $S$  into  $S_0$  and  $S_1$ . There are many possible choices for dividing  $S$  into  $S_0$  and  $S_1$  in such an algorithm. In Algorithm 1, we have chosen  $S_0$  such that  $|S_0| = 2^{\lceil \log_2 |S| \rceil - 1}$ , which is the largest power of 2 less than  $|S|$ . Another possible choice would be to take  $S_0$  such that  $|S_0| = \lceil |S|/2 \rceil$ . The algorithm proceeds in a recursive manner, subdividing either  $S_0$  or  $S_1$  if a threshold test is passed. As we will discuss, the choice of the thresholds  $\tau_0$  and  $\tau_1$  is dependent on the signal-to-noise ratio (SNR), the cardinality of

ALGORITHM 1  
TREE-STRUCTURED DETECTION ALGORITHM  $\text{TreeDet}(\mathbf{y}, S)$

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Algorithm:  $\text{TreeDet}(\mathbf{y}, S)$

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Divide  $S$  into two sets  $S_0$  and  $S_1$ , where  $|S_0| = 2^{\lceil \log_2 |S| \rceil - 1}$ , and  $S_1 = S \setminus S_0$  ;
Calculate  $\mathbf{e}_0 = \text{SUM}(S_0)$  and  $\mathbf{e}_1 = \text{SUM}(S_1)$  ;
Calculate  $\rho_0 = \langle \mathbf{y}, \mathbf{e}_0 \rangle$  and  $\rho_1 = \langle \mathbf{y}, \mathbf{e}_1 \rangle$  ;
 $\tau_0 = \text{DetermineThreshold}(|S_0|)$  ;
 $\tau_1 = \text{DetermineThreshold}(|S_1|)$  ;
if  $\rho_0 > \tau_0$  then
  if  $|S_0| = 1$  then
    output  $S_0$  ;
  else
    TreeDet( $\mathbf{y}, S_0$ ) ;
  end
end
if  $\rho_1 > \tau_1$  then
  if  $|S_1| = 1$  then
    output  $S_1$  ;
  else
    TreeDet( $\mathbf{y}, S_1$ ) ;
  end
end
return ;

```

---

either  $S_0$  or  $S_1$ , and the desired probability of detection for that level.

We now make some observations about the performance of this algorithm. First, the algorithm can be described via a binary tree, where each internal node corresponds to two correlations. Let us assume that each correlation truthfully reveals whether there is a colluder present or not. We denote by  $C(n, K)$  the number of correlations needed in Algorithm 1 to identify  $K$  signals from a set  $S$  of  $n$  orthogonal signals. We can derive a bound for  $C(n, K)$  in the ideal case where each correlation is truthful, namely

$$C(n, K) \leq 2 \left( -1 + K \left( \log_2 \left( 2^{\lceil \log_2 n \rceil} / K \right) + 1 \right) \right). \quad (10)$$

This bound can be shown using standard techniques for tree-based algorithms [29]–[32]. In particular, the bound on the amount of truthful correlations needed to identify  $K$  colluders is  $\mathcal{O}(K \log(n/K))$ . Further, we observe that if we were trying to detect a single signal, then we need to perform at most  $2(\lceil \log_2 |S| \rceil - 1)$  correlations as opposed to  $|S|$  in a traditional implementation. In addition, as  $K$  becomes larger, the improvement in the amount of correlations performed decreases since it becomes necessary to perform correlations for multiple branches of the tree.

Realistically, however, the correlations performed at each node of the algorithm are not guaranteed to be truthful. In fact, although we have achieved an improvement in computational efficiency, this comes at a tradeoff in detector variance. When we calculate the correlation with the sums of basis vectors, we get many small, noisy contributions from correlating the test signal with signals not present in the test signal, as in (9).

We now provide analysis for this phenomenon when there is only one colluder, i.e.,  $y(k) = s_1(k) + d(k)$ . For simplicity, let  $\mathbf{d} = \mathbf{0}$ ,  $\sigma_d^2 \mathbf{I}$ . The  $\mathbf{s}_j$  are known and have power  $\|\mathbf{s}_j\|^2 = \mathcal{E}$ . The two possible hypotheses are

$$\begin{cases} H_0: \mathbf{y} = \mathbf{d} \\ H_1: \mathbf{y} = \mathbf{d} + \mathbf{s}_1. \end{cases} \quad (11)$$

We break  $S$  into  $S_0 = \{\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_{n/2}\}$  and  $S_1 = \{\mathbf{s}_{n/2+1}, \mathbf{s}_{n/2+2}, \dots, \mathbf{s}_n\}$ . For simplicity of derivation, we use an unnormalized correlator for the detection statistics  $\rho_0$  and  $\rho_1$ . That is

$$\langle \mathbf{y}, \mathbf{s} \rangle = \sum_{k=1}^N y(k)s(k). \quad (12)$$

Under hypothesis  $H_1$ , the calculation for  $\rho_0$  is

$$\rho_0 = \langle \mathbf{s}_1 + \mathbf{d}, \mathbf{s}_1 + \mathbf{s}_2 + \dots + \mathbf{s}_{n/2} \rangle = \|\mathbf{s}_1\|^2 + \sum_{j=1}^{n/2} \langle \mathbf{d}, \mathbf{s}_j \rangle. \quad (13)$$

Under hypothesis  $H_0$ , the calculation for  $\rho_0$  is

$$\rho_0 = \langle \mathbf{d}, \mathbf{s}_1 + \mathbf{s}_2 + \dots + \mathbf{s}_{n/2} \rangle = \sum_{j=1}^{n/2} \langle \mathbf{d}, \mathbf{s}_j \rangle. \quad (14)$$

Then,  $E(\rho_0; H_0) = 0$ ,  $E(\rho_0; H_1) = \mathcal{E}$ , and  $\text{Var}(\rho_0; H_0) = \text{Var}(\rho_0; H_1) = (n/2)\sigma_d^2\mathcal{E}$ . Thus,  $\rho_0 \sim N(0, n\sigma_d^2\mathcal{E}/2)$  under  $H_0$ , and  $\rho_0 \sim N(\mathcal{E}, n\sigma_d^2\mathcal{E}/2)$  under  $H_1$ . Similar results can be derived for  $\rho_1$ . The probability of detection is

$$P_D = \Pr(\rho_0 > \tau; H_1) = Q\left(\frac{\tau - \mathcal{E}}{\sqrt{\sigma_d^2 n \mathcal{E}/2}}\right). \quad (15)$$

The probability of false alarm is

$$P_{FA} = \Pr(\rho_0 > \tau; H_0) = Q\left(\frac{\tau}{\sqrt{\sigma_d^2 n \mathcal{E}/2}}\right). \quad (16)$$

As we iterate down the tree, the SNR will become better. For example, at the second level of the algorithm's tree, the set  $S_0$  has  $n/4$  elements, and  $\rho_0 \sim N(0, n\sigma_d^2\mathcal{E}/4)$  under  $H_0$ , and  $\rho_0 \sim N(\mathcal{E}, n\sigma_d^2\mathcal{E}/4)$  under  $H_1$ . At each level of the algorithm, the decision threshold  $\tau$  may be determined using either a chosen value for the probability of detection or probability of false alarm for the one colluder case, i.e., from (15) or (16). If we choose  $\tau$  at each level of the tree to keep  $P_D$  fixed at a sufficiently high value, then the probability of a false alarm will change at each level of the tree. This means that initially, we will let through some false alarms until we proceed further down the tree, where there are higher effective SNRs.

It can be shown that a bound for the expected amount of correlations  $E[C(n, 1)]$  needed to identify a single colluder using Algorithm 1 when  $n = 2^r$  is

$$E[C(n, 1)] \leq 2 + 2(\ln n - 1)P_D + 2 \sum_{k=1}^{r-1} P_{FA}^{b_k} (2^{r-k} - 1) \quad (17)$$

where  $b_k$  is the binary string consisting of  $k - 1$  zeros followed by a single 1. Here, we have chosen to label the one colluder as user 1 and have denoted the probability of false alarm for a node  $b$  by  $P_{FA}^b$ .

The bound depends on the choice of  $P_D$  and the  $P_{FA}^{b_k}$  values. In Fig. 2, we present the bound for the expected amount of correlations needed when there is one colluder,  $n = 128$  users, and  $P_D = 0.99$  for each level. As a baseline, we have plotted the bound for  $E[C(128, 1)]$  against  $n = 128$ , which is the

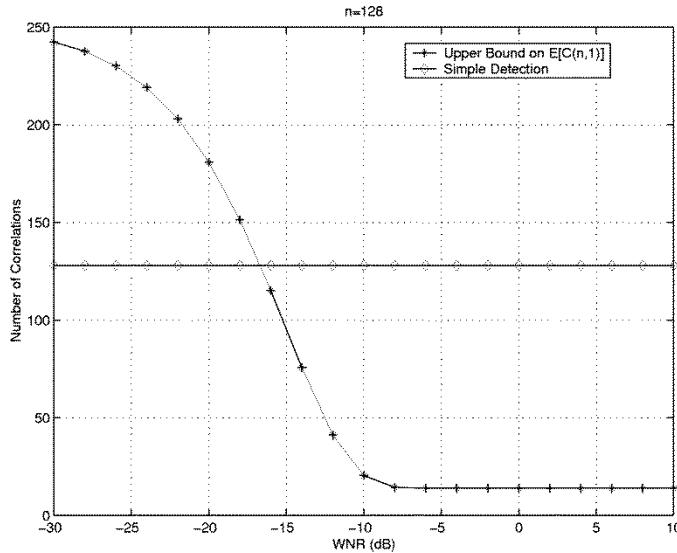


Fig. 2. Bound for the expected amount of correlations needed when there is one colluder  $n = 128$  users and  $P_D = 0.99$  for each level. As a baseline, we plot the bound for  $E[C(128, 1)]$  against the amount  $n$ , which is the amount of computations needed in performing simple detection.

amount of computations needed in performing simple detection. Examining this figure, one observes that at low WNR, which could correspond to a blind detection scenario, the bound on the amount of correlations needed in Algorithm 1 is above the baseline amount of correlations needed for simply correlating with each of the fingerprint waveforms. This poor performance of the bound is due to the tradeoff between  $P_D$  and  $P_{FA}$ . Specifically, given  $P_D = 0.99$ , it is not possible to make the  $P_{FA}^{b_k}$  small at low WNR. Thus, at low WNR, the tree-structured detection scheme may not be advantageous over a simple detection scheme. However, at higher WNR, which corresponds to nonblind detection scenarios, the separation between the detection hypotheses increases, and it does become possible to make  $P_{FA}^{b_k}$  small. In these cases, the bound guarantees that we will need fewer correlations than simply correlating with each waveform to identify a single colluder.

### B. Experiments on Tree-Based Detection of Orthogonal Fingerprints

We wanted to study the performance of the tree-structured detection algorithm and the effect that collusion had on the detection statistics. In our experiments, we used an additive spread spectrum watermarking scheme similar to that in [6], where a perceptually weighted watermark was added to DCT coefficients with a block size of  $8 \times 8$ . The detection of the watermark is performed without the knowledge of the host image via the detection statistics, as shown in (6). The  $512 \times 512$  Lenna was used as the host image for fingerprinting, and the fingerprinted images had no visible artifacts with an average PSNR of 41.2 dB. Fig. 3 illustrates the process of identifying colluders out of eight users using the tree-structured detection algorithm (Algorithm 1). The detection statistics are averaged over ten different sets of watermarks, and each set has eight mutually uncorrelated spread spectrum watermarks for eight users. These watermarks

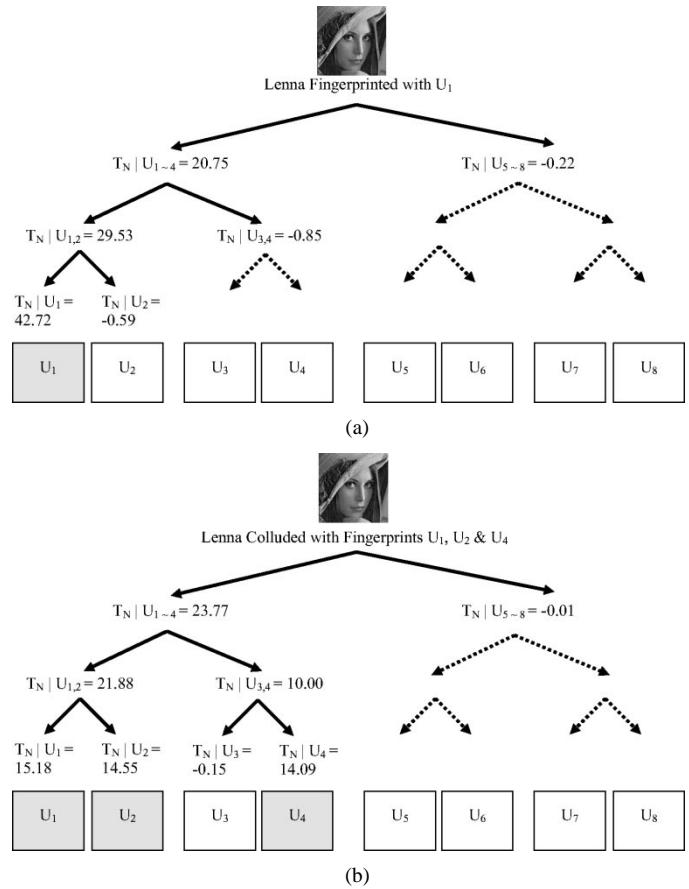


Fig. 3. Detection trees for identifying colluders using Algorithm 1. The images for different users are fingerprinted via orthogonal modulation. The fingerprints of colluders are indicated by shadowed boxes  $U_j$ . The notation “ $T_N|U_?$ ” denotes the detection statistics from correlating the test image with the sum of the fingerprints  $U_?$ . (a) One colluder. (b) Three colluders

are generated via a pseudo-random number generator and used as an approximate orthogonal basis in orthogonal modulation.

Fig. 3(a) shows the process of detecting colluders from an image with user 1’s fingerprint embedded. The notation “ $T_N|U_?$ ” denotes the detection statistics when correlating the test image with the sum of the fingerprints  $U_?$ . Detection statistics close to zero indicate the unlikely contributions from the corresponding fingerprints, and the branches of the detection tree below them, which are indicated by dotted lines, are not explored further. The number of correlations performed is 6. Fig. 3(b) shows the process of detecting colluders from an image colluded from user 1, user 2, and user 4’s fingerprinted images. The number of correlations performed is 8.

We see from Fig. 3(a) that the detection statistics when correlating with a sum of a larger number of basis vectors are smaller than that with a smaller amount of basis vectors. This reflects the noisy contributions from the basis vectors that are present in the sum of basis vectors but are not present in the test image. We discussed this phenomena earlier in Section III-A. Since the detection statistics we use have their variance normalized to 1, the noisy contributions lower the detection statistics values. We also observe, in Fig. 3(b), a decrease in the detection statistics in images colluded by more users.

In addition, we conducted a nonblind detection test with one colluder amongst  $n = 128$  users on the Lenna image. Our

test confirmed the findings of Fig. 2. Only 14 correlations were needed, which is a significant reduction over the 128 correlations needed in a simple detection approach.

#### IV. CODE-MODULATION EMBEDDING AND ANTICOLLUSION CODES

In the previous section, we mentioned that a drawback of the usage of orthogonal signaling is the large amount of basis vectors needed to convey user information. In this section, we will present another form of modulation, known as code modulation, that may be used to convey more fingerprint code bits for a given amount of basis vectors than orthogonal modulation. Therefore, we are able to accommodate more users than orthogonal modulation with the same amount of orthogonal signals. We will use this modulation technique, in conjunction with appropriately designed codewords, known as anti-collusion codes, to construct a family of watermarks that have the ability to identify members of the colluding set of users.

In code modulation, there are  $v$  orthogonal basis signals  $\{\mathbf{u}_j\}$ , and information is encoded into a watermark signal  $\mathbf{w}_j$  via

$$\mathbf{w}_j = \sum_{i=1}^v b_{ij} \mathbf{u}_i \quad (18)$$

where  $b_{ij} \in \{0, 1\}$  or  $b_{ij} \in \{\pm 1\}$ . The first of the two possibilities for choosing the values of  $b_{ij}$  corresponds to OOK, whereas the second choice of  $\{\pm 1\}$  corresponds to an antipodal form [27]. If  $b_{ij} = 0$ , this is equivalent to having no contribution in the  $\mathbf{u}_i$  direction. At the detector side, the determination of each  $b_{ij}$  is typically done by correlating with the  $\mathbf{u}_i$  and comparing against a decision threshold.

We assign a different bit sequence  $\{b_{ij}\}$  for each user  $j$ . We may view the assignment of the bits  $b_{ij}$  for different watermarks in a matrix  $\mathbf{B} = (b_{ij})$ , which we call the *derived* code matrix, where each column of  $\mathbf{B}$  contains a *derived* codevector for a different user. This viewpoint allows us to capture the orthogonal and code modulation cases for watermarking. For example, the identity matrix describes the orthogonal signaling case since the  $j$ th user is only associated with one signal vector  $\mathbf{u}_j$ . In the following section, we will design a code matrix  $\mathbf{C}$  whose elements are either 0 or 1. By applying a suitable mapping that depends on whether the OOK or antipodal form of code modulation is used, the code matrix  $\mathbf{C}$  is used to derive the matrix  $\mathbf{B}$  that is used in forming the watermark signals.

In binary code modulation, if we average two watermarks  $\mathbf{w}_1$  and  $\mathbf{w}_2$  corresponding to bit sequences  $b_{i1}$  and  $b_{i2}$ , then when  $b_{i1} \neq b_{i2}$ , the contributions attenuate or cancel, depending on whether the OOK or antipodal form is used. However, when  $b_{i1} = b_{i2}$ , the contributions do not attenuate. For example, if antipodal code modulation is used with  $\sqrt{\mathcal{E}/v}$  for each component, then the result of averaging two watermark signals is that many of the components will still have  $\sqrt{\mathcal{E}/v}$  amplitude, which is identical to the amplitude prior to collusion, whereas other components will have 0 amplitude. When we average  $K$  watermarks, those components in the bit sequences that are all the same will not experience any cancellation, and their amplitude

will remain  $\sqrt{\mathcal{E}/v}$ , whereas others will experience diminishing (although not necessarily complete cancellation).

##### A. Anti-collusion Codes

In this section, we design a family of codevectors  $\{\mathbf{c}_j\}$  whose overlap with each other can identify groups of colluding users. A similar idea was proposed in [33], where projective geometry was used to construct such code sequences. As we will explain in this section, our proposed code construction makes more efficient usage of the basis vectors than the codes described in [33].

For this section, we describe codes using the binary symbols  $\{0, 1\}$ . These codevectors are mapped to *derived* codevectors by a suitable mapping, depending on whether the OOK or antipodal form of binary code modulation is used for watermarking. For example, when used in the antipodal form, the binary symbols  $\{0, 1\}$  are mapped to  $\{-1, 1\}$  via  $f(x) = 2x - 1$ .

We assume, when a sequence of watermarks is averaged and detection is performed, that the detected binary sequence is the logical AND of the codevectors  $\mathbf{c}_j$  used in constructing the watermarks. For example, when the watermarks corresponding to the codevectors (1110) and (1101) are averaged, we assume the output of the detector is (1100). When we perform two or more averages, this assumption might not necessarily hold since the average of many 1's and a few 0's may produce a decision statistic large enough to pass through the detector as a 1. We discuss the behavior of the detector in these situations further in Section IV-B and detail approaches to improve the validity of the AND assumption.

We want to design codes such that when  $K$  or fewer users collude, we can identify the colluders. We prefer shorter codes since for embedded fingerprints longer codes would distribute the fingerprint energy over more basis vectors, which would lead to a higher error rate in the detection process. In order to identify colluders, we require that there are no repetitions in the different combinations of  $K$  or fewer codevectors. We will call codes that satisfy this property ACCs. In the definition that follows, we provide a definition appropriate for this paper involving binary values but note that the definition can be easily extended to more general sets  $G$ .

*Definition 1:* Let  $G = \{0, 1\}$ . A code  $\mathcal{C} = \{\mathbf{c}_1, \dots, \mathbf{c}_n\}$  of vectors belonging to  $G^v$  is called a  $K$ -resilient AND anti-collusion code (AND-ACC) when any subset of  $K$  or fewer codevectors combined element-wise under AND is distinct from the element-wise AND of any other subset of  $K$  or fewer codevectors.

We first present a  $n$ -resilient AND-ACC. Let  $\mathcal{C}$  consist of all  $n$ -bit binary vectors that have only a single 0 bit. For example, when  $n = 4$ ,  $\mathcal{C} = \{1110, 1101, 1011, 0111\}$ . It is easy to see that any element-wise logical AND of  $K \leq n$  of these vectors is unique. This code has cardinality  $n$  and, hence, can produce at most  $n$  differently watermarked media. We refer to this code as the *trivial* AND-ACC for  $n$  users.

It is desirable to shorten the codelength to squeeze more users into fewer bits since this would require the use and maintenance of fewer orthogonal basis vectors. To do this, we need to give up some resiliency. We now present a construction of a  $K$ -resilient AND-ACC that requires  $\mathcal{O}(K\sqrt{n})$  basis vectors for  $n$  users. This construction uses balanced incomplete block designs [34]:

**Definition 2:** A  $(v, k, \lambda)$  balanced incomplete block design (BIBD) is a pair  $(\mathcal{X}, \mathcal{A})$ , where  $\mathcal{A}$  is a collection of  $k$ -element subsets (blocks) of a  $v$ -element set  $\mathcal{X}$ , such that each pair of elements of  $\mathcal{X}$  occur together in exactly  $\lambda$  blocks.

The theory of block designs is a field of mathematics that has found application in the construction of error correcting codes and the design of statistical experiments. A  $(v, k, \lambda)$ -BIBD has a total of  $n = \lambda(v^2 - v)/(k^2 - k)$  blocks. Corresponding to a block design is the  $v \times n$  incidence matrix  $\mathbf{M} = (m_{ij})$  defined by

$$m_{ij} = \begin{cases} 1, & \text{if the } i\text{th element belongs to the } j\text{th block,} \\ 0, & \text{otherwise.} \end{cases}$$

If we define the codematrix  $\mathbf{C}$  as the bit-complement of  $\mathbf{M}$  and assign the codevectors  $\mathbf{c}_j$  as the columns of  $\mathbf{C}$ , then we have a  $(k - 1)$ -resilient AND-ACC. Our codevectors are therefore  $v$ -dimensional, and we are able to accommodate  $n = \lambda(v^2 - v)/(k^2 - k)$  users with these  $v$  basis vectors. Assuming that a BIBD exists for  $n$  users, we therefore need  $v = \mathcal{O}(\sqrt{n})$  basis vectors.

**Theorem 1:** Let  $(\mathcal{X}, \mathcal{A})$  be a  $(v, k, 1)$ -BIBD and  $\mathbf{M}$  the corresponding incidence matrix. If the codevectors are assigned as the bit complement of the columns of  $\mathbf{M}$ , then the resulting scheme is a  $(k - 1)$ -resilient AND-ACC.

The proof is provided in the Appendix. We now present an example. The following is the bit-complement of the incidence matrix for a  $(7, 3, 1)$ -BIBD:

$$\mathbf{C} = \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}. \quad (19)$$

This code requires 7 bits for seven users and provides 2-resiliency since any two column vectors share a unique pair of 1 bits. Each column vector  $\mathbf{c}$  of  $\mathbf{C}$  is mapped to  $\{\pm 1\}$  by  $f(x) = 2x - 1$ . The code modulated watermark is then  $\mathbf{w} = \sum_{i=1}^v f(c(i))\mathbf{u}_i$ . When two watermarks are averaged, the locations where the corresponding AND-ACC agree and have a value of 1 identify the colluding users. For example, let

$$\mathbf{w}_1 = -\mathbf{u}_1 - \mathbf{u}_2 + \mathbf{u}_3 - \mathbf{u}_4 + \mathbf{u}_5 + \mathbf{u}_6 + \mathbf{u}_7 \quad (20)$$

$$\mathbf{w}_2 = -\mathbf{u}_1 + \mathbf{u}_2 - \mathbf{u}_3 + \mathbf{u}_4 + \mathbf{u}_5 - \mathbf{u}_6 + \mathbf{u}_7 \quad (21)$$

be the watermarks for the first two columns of the above  $(7, 3, 1)$  code; then,  $(\mathbf{w}_1 + \mathbf{w}_2)/2$  has coefficient vector  $(-1, 0, 0, 0, 1, 0, 1)$ . The fact that a 1 occurs in the fifth and seventh location uniquely identifies users 1 and 2 as the colluders.

The  $(7, 3, 1)$  example that we presented had no improvement in bit efficiency over the trivial AND-ACC for seven users, and it had less collusion resilience. A useful metric for evaluating the efficiency of an AND-ACC for a given collusion resistance is  $\beta = n/v$ , which describes the amount of users

that can be accommodated per basis vector. AND-ACCs with a higher  $\beta$  are better. For codes  $(v, k, \lambda)$ -BIBD AND-ACC, their efficiency is  $\beta = \lambda(v - 1)/(k^2 - k)$ . Therefore, the efficiency of an AND-ACC built from BIBDs improves as the codelength  $v$  becomes larger. By Fisher's inequality [34], we also know that  $n \geq v$  for a  $(v, k, \lambda)$ -BIBD, and thus,  $\beta \geq 1$  using the BIBD construction. In contrast, the  $K$ -resilient construction in [33] has efficiency much less than 1 and thus requires more spreading sequences (or marking locations) to accommodate the same number of users as our scheme. It is possible to use the collusion-secure code constructions of [4] in conjunction with code modulation for embedding. However, the construction described in [4] is limited to a collusion resistance of  $K \leq \log n$  and is designed to trace one colluder among  $K$  colluders. Their construction has codelength  $\mathcal{O}(\log^4 n \log^2(1/\epsilon))$ , where  $\epsilon < 1/n$  is the decision error probability. This codelength is considerably large for small error probabilities and practical  $n$  values. For example, when  $n = 2^{10}$ , the codelength of [4] is on the order of  $10^6$ , whereas the codelength for our proposed AND-ACC is on the order of  $10^2$ . Additionally, for the same amount of users, the use of code-modulation watermarking with an AND-ACC constructed using a  $(v, k, 1)$ -BIBD requires fewer spreading sequences than orthogonal modulation. A code-modulation scheme would need  $v$  orthogonal sequences for  $n = (v^2 - v)/(k^2 - k)$  users, whereas orthogonal signaling would require  $n$  sequences.

There are systematic methods for constructing infinite families of BIBD's. For example,  $(v, 3, 1)$  systems (also known as Steiner triple systems) are known to exist if and only if  $v \equiv 1$  or  $3 \pmod{6}$ ; the Bose construction builds Steiner triple systems when  $v \equiv 3 \pmod{6}$ , and the Skolem construction builds Steiner triple systems when  $v \equiv 1 \pmod{6}$  [35]. Another approach to constructing BIBDs is to use  $d$ -dimensional projective and affine geometry over  $Z_p$ , where  $p$  is of prime power. Projective and affine geometries yield  $((p^{d+1} - 1)/(p - 1), p + 1, 1)$  and  $(p^d, p, 1)$  BIBDs [34], [36]. Techniques for constructing these and other BIBDs can be found in [37]. Finally, we mention that other combinatorial objects, such as packing designs and pairwise balanced designs, have very similar properties to BIBD and may be used to construct AND-ACC, where the codevectors do not all have the same weight. The construction and use of AND-ACC built from other combinatorial objects is beyond the scope of this paper.

### B. Detection Strategies

In this section, we discuss the problem of detecting the colluders when our AND-ACCs are used with code modulation. We present several detection algorithms that can be used to identify possible colluders. This section serves as a basis for demonstrating the performance of our ACC. Our goal here is to find efficient detection structures by taking advantages of the special characteristics of our ACC.

We assume that the total distortion  $\mathbf{d}$  is an  $N$ -dimensional vector following an i.i.d. Gaussian distribution with zero-mean and variance  $\sigma_d^2$ . Under the colluder-absent hypothesis  $H_0$ , the observed content  $\mathbf{y}$  is the distortion signal  $\mathbf{d} = \mathbf{x} + \mathbf{z}$ . Under the colluder-present hypothesis  $H_1$ ,  $K$  colluders come together and perform an averaging attack that produces a colluded version of

the content  $\mathbf{y}$ . Presented in a hypotheses-testing framework, we have

$$\begin{aligned} H_0: \mathbf{y} &= \mathbf{d} \\ H_1: \mathbf{y} &= \frac{1}{K} \sum_{j \in S_c} \mathbf{y}_j + \mathbf{z} = \frac{1}{K} \sum_{j \in S_c} \mathbf{s}_j + \mathbf{d} \end{aligned} \quad (22)$$

where  $K$  is the number of colluders, and  $S_c$  indicates a subset with size  $K$ . The marked content  $\mathbf{y}_j$  for each user  $j$  is given as

$$\mathbf{y}_j = \mathbf{x} + \mathbf{s}_j = \mathbf{x} + \alpha \sum_{i=1}^v b_{ij} \mathbf{u}_i \quad (23)$$

where  $\alpha$  is used to control the strength of the fingerprint. Clearly, the precise probability law under  $H_1$  depends on fingerprint signals of the colluders, and since the collusion behavior represented by  $K$  and  $S_c$  is unknown, the hypotheses to be tested are composite. Due to the discrete nature of our model, the optimal maximum likelihood (ML) approach usually involves the enumeration of all possible parameter values, and hence, the computational cost can be prohibitively high.

Due to the orthogonality of the basis  $\{\mathbf{u}_i\}$ , for the purpose of detecting colluders, it suffices to consider the correlator vector  $\mathbf{T}_N$ , with the  $i$ th component expressed by

$$T_N(i) = \mathbf{y}^T \mathbf{u}_i / \sqrt{\sigma_d^2 \cdot \|\mathbf{u}_i\|^2} \quad (24)$$

for  $i = 1, \dots, v$ . It is straightforward to show that

$$\mathbf{T}_N = \frac{\alpha_1}{K} \mathbf{B} \Phi + \mathbf{n} \quad (25)$$

where the column vector  $\Phi \in \{0, 1\}^n$  indicates colluders via the location of components whose value are 1;  $\alpha_1 = \alpha \sqrt{\|\mathbf{u}\|^2 / \sigma_d^2}$  is assumed known, with  $\|\mathbf{u}_i\| = \|\mathbf{u}\|$  for all  $i$ , and  $\mathbf{n} = [\mathbf{u}_1, \dots, \mathbf{u}_v]^T \mathbf{d} / \sqrt{\sigma_d^2 \cdot \|\mathbf{u}\|^2}$  follows a  $N(\mathbf{0}, \mathbf{I}_v)$  distribution. Here,  $\mathbf{B}$  is the derived code matrix, and  $K$  is the number of 1's in  $\Phi$ . Thus, the model (22) can be equivalently presented as

$$\begin{cases} H_0: f(\mathbf{T}_N | \Phi = \mathbf{0}) = N(\mathbf{0}, \mathbf{I}_v) \\ H_1: f(\mathbf{T}_N | \Phi) = N\left(\frac{\alpha_1}{K} \mathbf{B} \Phi, \mathbf{I}_v\right) \end{cases} \quad (26)$$

with reference to (22) and (25).

Our goal in this section is to efficiently estimate  $\Phi$ . However, before we examine the candidate detectors, we discuss the choice of using either the OOK or antipodal form of code modulation. Suppose that a codeword  $\mathbf{c}_j$  has weight  $\omega = wt(\mathbf{c}_j)$ . In the OOK case, the remaining  $v - \omega$  positions would be zeros, whereas in the antipodal case, the remaining  $v - \omega$  positions would be mapped to  $-1$ . If we allocate  $\mathcal{E}$  energy to this codeword, then the OOK case would use  $\mathcal{E}/\omega$  energy to represent each 1, whereas the antipodal case would use  $\mathcal{E}/v$  energy to represent each  $\pm 1$ . The amplitude separation between the constellation points for the 0 and 1 in OOK is  $\sqrt{\mathcal{E}/\omega}$ , whereas the separation between  $-1$  and 1 in antipodal is  $2\sqrt{\mathcal{E}/v}$ . Therefore, since it is desirable to have the separation between the constellation points as large as possible, we should choose OOK only when  $\omega < v/4$ . In the AND-ACCs presented in Section IV-A,

#### ALGORITHM 2

ALGORITHM *HardDetAlg* ( $\Gamma$ ), WHICH DETERMINES THE VECTOR  $\Phi$  THAT DESCRIBES THE SUSPECT SET

---

Algorithm: *HardDetAlg*( $\Gamma$ )

```

 $\Phi = \mathbf{1};$ 
Define  $J$  to be the set of indices where  $\Gamma_i = 1$  ;
for  $t = 1$  to  $|J|$  do
     $j = J(t);$ 
    Define  $\mathbf{e}_j$  to be the  $j$ th row of  $\mathbf{C}$ ;
     $\Phi = \Phi \cdot \mathbf{e}_j;$ 
end
return  $\Phi$  ;

```

---

the weight of each codeword is  $\omega = v - k$ . OOK is advantageous when  $k > (3/4)v$ , and antipodal modulation is preferable otherwise. Typically, in BIBDs with  $\lambda = 1$ , the block size  $k$  is much smaller than  $v$  [37], and therefore, the antipodal form of code modulation is preferred.

1) *Hard Detection*: We first introduce a simple detection scheme based upon hard thresholding. Upon applying hard thresholding to the detection statistics  $T_N(i)$ , we obtain a vector  $\Gamma = (\Gamma_1, \Gamma_2, \dots, \Gamma_v)$ , where  $\Gamma_i = 1$  if  $T_N(i) > \tau$  and  $\Gamma_i = 0$  otherwise. Given the vector  $\Gamma$ , we must determine who the colluders are.

Algorithm 2 starts with the entire group as the suspicious set and uses the components of  $\Gamma$  that are equal to 1 to further narrow the suspicious set. We determine a vector  $\Phi = (\Phi_1, \Phi_2, \dots, \Phi_n)^T \in \{0, 1\}^n$  that describes the suspicious set via the location of components of  $\Gamma$  whose value are 1. Thus, if  $\Phi_j = 1$ , then the  $j$ th user is suspected of colluding. In the algorithm, we denote the  $j$ th row vector of  $\mathbf{C}$  by  $\mathbf{e}_j$  and use the fact that the element-wise multiplication “.” of the binary vectors corresponds to the logical AND operation. We start with  $\Gamma$  and  $\Phi = \mathbf{1}$ , where  $\mathbf{1}$  is the  $n$ -dimensional vector consisting of all ones. The algorithm then uses the indices where  $\Gamma$  is equal to 1 and updates  $\Phi$  by performing the AND of  $\Phi$  with the rows of the code matrix  $\mathbf{C}$  corresponding to indices where  $\Gamma$  is 1.

2) *Adaptive Sorting Approach*: One drawback of the hard detection approach above is that the threshold  $\tau$  is fixed at the beginning. This choice of  $\tau$  is applied to every detection scenario, regardless of the observations. To overcome this disadvantage, it is desirable to avoid the hard-thresholding process. Consequently, in Algorithm 3, we present a soft-thresholding detection scheme where  $\Phi$  is updated iteratively via the likelihood of  $\mathbf{T}_N$ . We start with the highest detection statistic  $T_N(j)$  to narrow down the suspicious set. At each iteration, we check whether the next largest statistic  $T_N(j)$  increases the likelihood. If the likelihood increases, then we use this to further trim the suspicious set. The iteration stops when the likelihood decreases.

3) *Sequential Algorithm*: The approaches in both Sections IV-B1 and B2 share the same idea that the colluders can be uniquely identified by utilizing the locations of 1's in  $\Gamma$  due to the structural features of our AND-ACC. One key disadvantage of these schemes is that, in practice, the noise causes the thresholding decision to have errors, which in turn results in incorrect indications of colluders. Therefore, it is desirable to estimate  $\Phi$  directly from the pdf behavior of  $\mathbf{T}_N$ , as suggested by model (26).

## ALGORITHM 3

ALGORITHM *AdSortAlg* ( $\Gamma$ ), WHICH USES AN ADAPTIVE SORTING APPROACH TO DETERMINE THE VECTOR  $\Phi$  THAT DESCRIBES THE SUSPECT SET

---

Algorithm: *AdSortAlg*( $\mathbf{T}_N$ )

Sort elements of  $\mathbf{T}_N$  in descending order and record corresponding index vector as  $\mathbf{J}$  ;  
Set  $\Phi = \mathbf{1}$ ; Set  $i = 0$  and calculate the likelihood  $LL(i) = f(\mathbf{T}_N|\Phi)$  according to (26) ;  
Flag = True ;  
**while**  $Flag \& i < v$  **do**  
  Set  $i = i + 1$  ;  
   $j = J(i)$  and  $\Gamma(j) = 1$  ;  
  Define  $\mathbf{e}_j$  to be the  $j$ -th row of  $\mathbf{C}$  ;  
   $\Phi_{up} = \Phi \cdot \mathbf{e}_j$  ;  
   $LL(i) = f(\mathbf{T}_N|\Phi_{up})$  ;  
  **if**  $LL(i) > LL(i - 1)$  **then**  
     $\Phi = \Phi_{up}$  ;  
  **else**  
    Flag = False ;  
  **end**  
**end**  
**return**  $\Phi$  ;

---

Thus, we introduce Algorithm 4, which we refer to as the sequential algorithm, for estimating  $\Phi$  from the pdf of  $\mathbf{T}_N$ . This algorithm is similar to the adaptive sorting scheme in its sequential nature. The difference is that Algorithm 4 directly estimates the colluder set, whereas the adaptive sorting algorithm first estimates the code bits before deciding the colluder set.

Finally, we note that since a binary variable is assigned to each user that indicates his/her presence or absence in the coalition, the collusion problem (25) is related to the estimation of superimposed signals [38]. One may apply the alternating maximization (AM) method [39], [40] to the problem of identifying the colluders. In our experience, we found that there was no significant performance difference between the AM approach and our sequential algorithm.

### C. ACC Simulations With Gaussian Signals

In this section, we study the behavior of our AND-ACC when used with code modulation in an abstract model. The distortion signal  $\mathbf{d}$  and the orthogonal basis signals  $\mathbf{u}_i$  are assumed to be independent, and each of them is an  $N = 10\,000$  point vector of i.i.d. Gaussian samples. The factor  $\alpha$  is applied equally to

all components and is used to control the WNR, where  $\text{WNR} = 10 \log_{10} \|\mathbf{s}\|^2 / \|\mathbf{d}\|^2$  dB. We use these simulations to verify some basic issues associated with collusion and code modulation.

In the simulations that follow, we used a (16, 4, 1)-BIBD to construct our AND-ACC code. The  $(v, 4, 1)$  codes exist if and only if  $v \equiv 1$  or  $4 \pmod{12}$ . By complementing the incidence matrix, we get the code matrix in (27), shown at the bottom of the page. With this code, we use 16 orthogonal basis vectors to handle 20 users and can uniquely identify up to  $K = 3$  colluders. The fingerprints for each user were assigned according to the antipodal form of code modulation using the columns of  $\mathbf{C}$  as the codewectors.

We first wanted to study the behavior of the detector and the legitimacy of the AND logic for the detector under the collusion scenario. We randomly selected three users as colluders and averaged their marked content signals to produce  $\mathbf{y}$ . The colluded content signal was used in calculating  $\mathbf{T}_N$ , as described in (24).

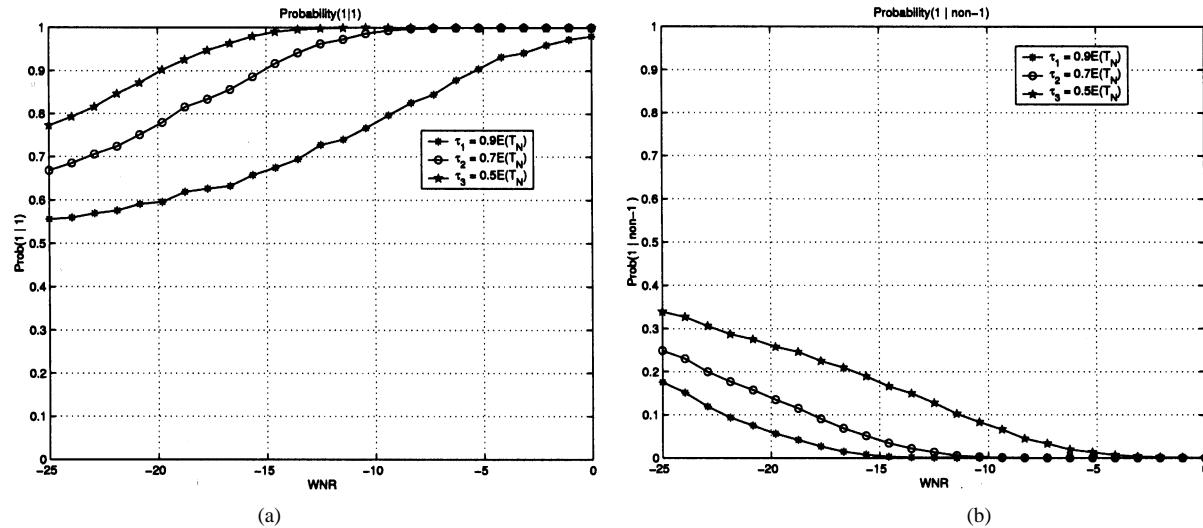
For three colluders using antipodal modulation, there are four possible cases for the average of their bits, namely,  $-1$ ,  $-1/3$ ,  $1/3$ , and  $1$ . We refer to the cases  $-1$ ,  $-1/3$  and  $1/3$  as the non-1 hypothesis since under the AND logic assumption of our proposed AND-ACC they would be mapped to 0. We examined the tradeoff between the probability  $p(1|1)$  of correctly detecting a 1 when a 1 was expected from the AND logic and the probability of  $p(1|\text{non-1})$ , where the detector decides a 1 when the correct hypothesis was a non-1. We calculated  $p(1|1)$  and  $p(1|\text{non-1})$  as a function of WNR when using hard detection with different thresholds. The thresholds used were  $\tau_1 = 0.9E(T_N)$ ,  $\tau_2 = 0.7E(T_N)$ , and  $\tau_3 = 0.5E(T_N)$ . In order to calculate  $E(T_N)$ , we used (5) and assumed that the detector knows the WNR and hence the power of the distortion. The plot of  $p(1|1)$  for different thresholds is presented in Fig. 4(a), and the plot of  $p(1|\text{non-1})$  is presented in Fig. 4(b). We observe that for the smaller threshold of  $0.5E(T_N)$ , the probability  $p(1|1)$  is higher but at an expense of a higher probability of false classification  $p(1|\text{non-1})$ . Increasing the threshold allows us to decrease the probability of falsely

$$\mathbf{C} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 \\ 1 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 \end{pmatrix} \quad (27)$$

## ALGORITHM 4

ALGORITHM  $\text{SeqAlg}(\mathbf{T}_N)$ , WHICH IS A SEQUENTIAL ALGORITHM TO DETERMINE THE VECTOR  $\Phi$  THAT DESCRIBES THE SUSPECT SETAlgorithm:  $\text{SeqAlg}(\mathbf{T}_N)$ Set  $K = 0$ ;Calculate the likelihood  $LL(0) = f(\mathbf{T}_N | \Phi = \mathbf{0})$  according to (26) ;Set  $J = \emptyset$  and Flag = True ;**while**  $\text{Flag}$  **do**    Let  $K = K + 1$  ;    Estimate  $i_K$ , assuming that  $(K - 1)$  users have indices  $i_j = J(j)$ , for  $j = 1, \dots, (K - 1)$  via

$$i_K = \arg \max_{i_K} \{f(\mathbf{T}_N | \mathbf{J} = \{i_1, \dots, i_K\})\};$$

 $J = \{i_1, \dots, i_K\}$  and  $\Phi_{up}(J) = 1$  ;Calculate  $LL(K) = f(\mathbf{T}_N | \Phi_{up})$  ;**if**  $LL(K) > LL(K - 1)$  **then**    |  $\Phi = \Phi_{up}$  ;**else**    |  $\text{Flag} = \text{False}$  ;**end****end****return**  $\Phi$  ;Fig. 4. (a) Probability of detection  $p(1|1)$  and (b) probability of false alarm  $p(1|\text{non-1})$  for different WNR and different thresholds using hard detection.

classifying a bit as a 1 but at the expense of also decreasing the probability of correctly classifying a bit as a 1.

We next examined the performance of the different detection strategies for identifying the colluders. The following six measures present different yet related aspects of the performance for capturing colluders:

- the fraction of colluders that are successfully captured;
- the fraction of innocent users that are falsely placed under suspicion;
- the probability of missing a specific user when that user is guilty;
- the probability of falsely accusing a specific user when that user is innocent;
- the probability of not capturing any colluders;

f) the probability that we falsely accuse at least one user.

We calculated these six different performance measures for each of the detection strategies described in Section IV-B and present the results in Fig. 5. For each WNR, we averaged over 2000 experiments.

We observe in Fig. 5(a) and (b) that for all WNRs, the use of a higher threshold in the hard detection scheme is able to capture more of the colluders but also places more innocent users falsely under suspicion. As WNR increases, the hard detector has lower  $p(1|\text{non-1})$  and therefore does not incorrectly eliminate colluders from suspicion. Similarly, at higher WNR, the hard detector has a higher  $p(1|1)$ , thereby correctly identifying more 1's, which allows for us to eliminate more innocents from suspicion. Therefore, at higher WNR, we can cap-

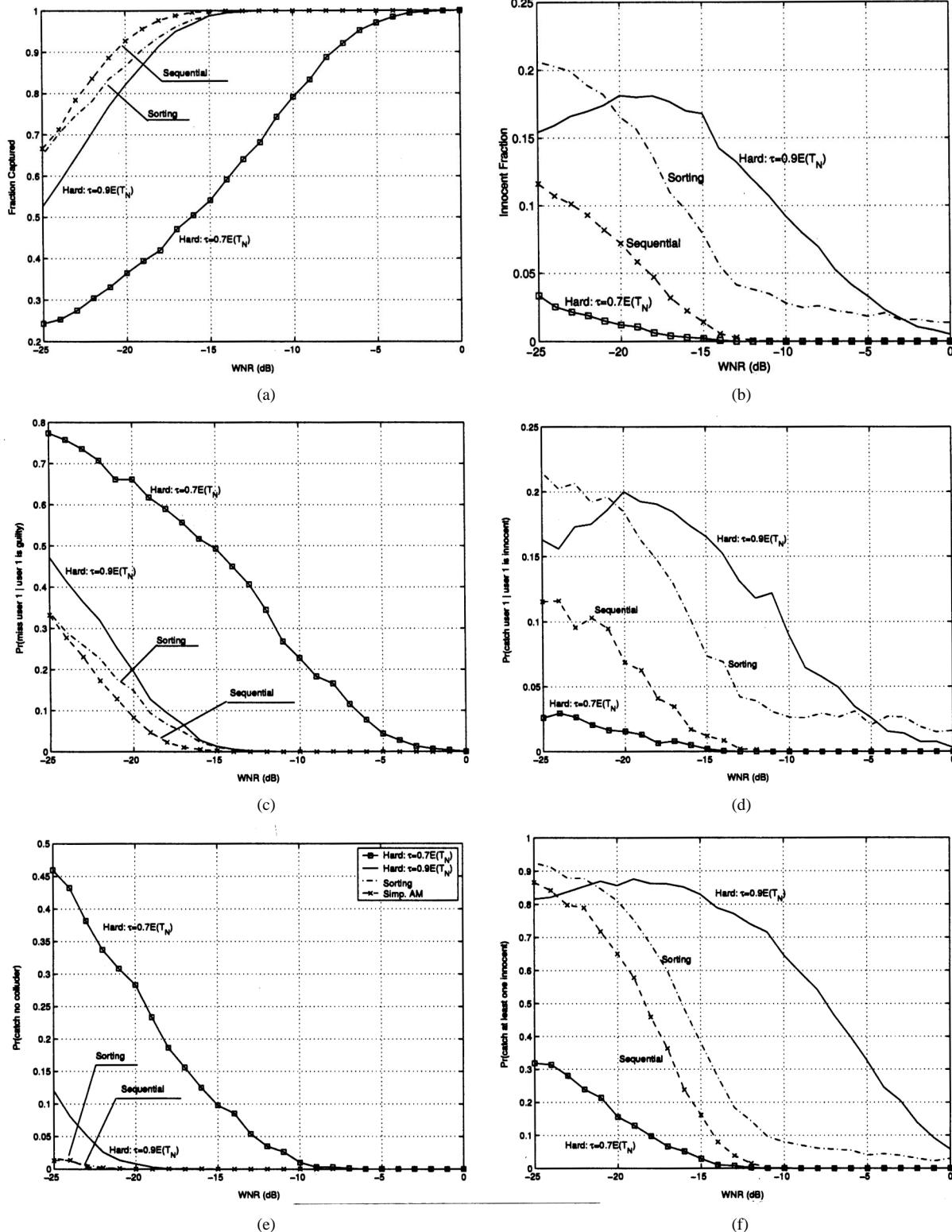


Fig. 5. (a) Fraction of colluders that are successfully captured or placed under suspicion. (b) Fraction of the total group that are innocent and falsely placed under suspicion for different WNR and different thresholds. (c) Probability of missing user 1 when he is guilty. (d) Probability of falsely accusing user 1 when he is innocent. (e) Probability of not capturing any colluder. (f) Probability of putting at least one innocent under suspicion. In each plot, there were three colluders.

ture more colluders as well as place fewer innocent users under suspicion. We note, however, that in Fig. 5(b), at low WNR between  $-25$  and  $-15$  dB, the fraction of innocents under suspicion using threshold  $\tau = 0.9E(T_N)$  is lower than at slightly higher WNR. This behavior can be explained by examining

Fig. 4(a) and (b). We observe that at low WNR, the  $p(1|\text{non-1})$  is higher than slightly higher WNR, particularly for the threshold  $\tau = 0.9E(T_N)$ . However, for this threshold, the  $p(1|1)$  at these WNR is relatively flat. These two observations combined indicate that at lower WNR we falsely decide 1 more often than at



Fig. 6. (Top) Original images. (Middle) Fingerprinted images. (Bottom) Difference images for Lenna and Baboon. In the difference images, gray color indicates zero difference between the original and the fingerprinted version, and brighter and darker indicates larger difference.

slightly higher WNR, whereas we do not experience much difference in the amount of correctly identified 1's. As more 1's pass through the detector, we remove more users from suspicion. Therefore, since the amount of correctly detected 1's increases slowly for WNRs between  $-25$  and  $-15$  dB, the additional 1's from false detections at lower WNR eliminates more innocent users (as well as colluders) from suspicion.

Compared with the hard detection scheme with  $\tau = 0.9E(T_N)$ , the adaptive sorting scheme captures a larger fraction of the colluders at all WNR, whereas for a large range of WNRs between  $-20$  and  $-3$  dB, the adaptive sorting scheme places fewer innocents under suspicion. However, examining the curves for the sequential algorithm, we find that we are able to capture more colluders than any other detection

TABLE I  
DERIVED CODEVECTORS FROM A (16, 4, 1) AND-ACC FOR USER 1, USER 4, AND USER 8. VECTORS FROM A TWO-COLLUDER SCENARIO AND A THREE-COLLUDER SCENARIO. BOTTOM ROW CORRESPONDS TO THE DESIRED OUTPUT OF THE DETECTOR USING THE AND LOGIC FOR THE THREE-COLLUDER CASE

User 1:	-1	-1	-1	-1	1	1	1	1	1	1	1	1	1	1	1	1	1
User 4:	-1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	1	1	1	1
User 8:	1	-1	1	1	1	1	-1	1	-1	1	1	1	1	1	-1	1	1
User(1,4) Average:	-1	0	0	0	1	1	1	1	1	0	0	0	0	1	1	1	1
User(1,4,8) Average:	$-\frac{1}{3}$	$-\frac{1}{3}$	$\frac{1}{3}$	$\frac{1}{3}$	1	1	$\frac{1}{3}$	1	$\frac{1}{3}$	1	$\frac{1}{3}$	$\frac{1}{3}$	1	$\frac{1}{3}$	1	$\frac{1}{3}$	1
After thresholding:	0	0	0	0	1	1	0	1	0	1	0	0	0	1	0	1	1

Colluded ACC code [ -1, 0, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0, 1, 1, 1 ]

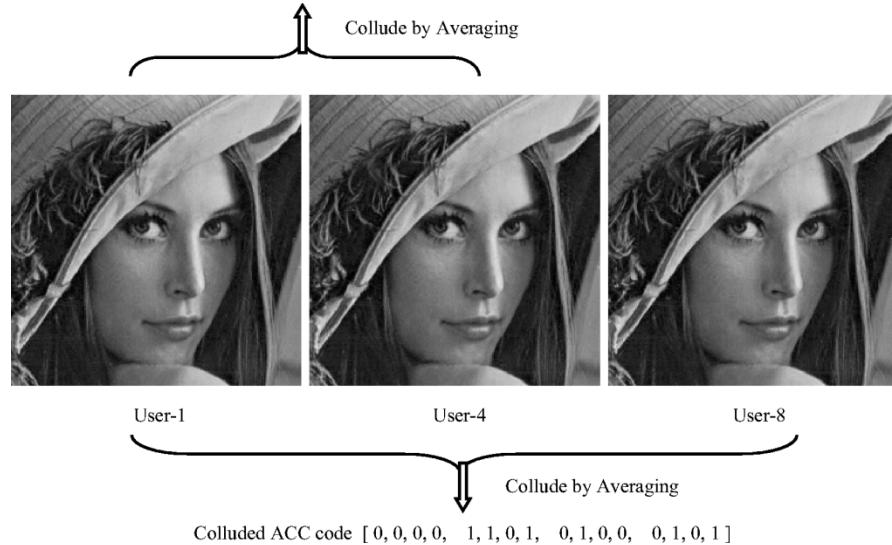


Fig. 7. Illustration of collusion by averaging two and three images fingerprinted with ACC codes, respectively.

schemes at all WNRs. Further, the amount of innocents placed under suspicion is less than the adaptive sorting algorithm.

Consistent behavior is observed for the different detection schemes under the other performance measures, as depicted in Fig. 5(c)-(f). Overall, the sequential detection scheme provides the most promising balance between capturing colluders and placing innocents under suspicion.

#### D. ACC Experiments With Images

In order to demonstrate the performance of our AND-ACC with code-modulation fingerprinting on real images for fingerprinting users and detecting colluders, we used an additive spread spectrum watermarking scheme similar to that in [6], where the perceptually weighted watermark was added to  $8 \times 8$  block DCT coefficients. The detection of the watermark is a blind detection scenario performed without the knowledge of the host image via the detection statistics as shown in (6). We used the same code matrix detailed in (27) for the AND-ACC as in the simulations for Gaussian signals. This code is able to accommodate 20 users and is designed to capture up to three colluders. The  $512 \times 512$  Lenna and Baboon images were used as the host signals for the fingerprints. The fingerprinted images have no visible artifacts with an average PSNR of 41.2 dB for Lenna and 33.2 dB for Baboon. Fig. 6 shows the original images, the fingerprinted images, and the difference with respect to the originals.

The three derived code vectors that were assigned to users 1, 4, and 8 via antipodal mapping as well as the colluded versions are presented in Table I. Two collusion examples are illustrated in Fig. 7, and the detection statistics of the two examples are shown in Fig. 8. In one example, we averaged the Lenna images fingerprinted with users 1 and 4's codes, and the other is for averaging users 1, 4, and 8's. The colluded images are further compressed using JPEG with quality factor (QF) 50%. The thresholds determined from the estimated mean of the detection statistics  $E(T_N)$  are also shown in Fig. 8. We then estimate the fingerprint codes by thresholding the detection statistics using a hard threshold of  $\tau$ . The estimated fingerprint codes are identical to the expected ones shown in Table I. We can see in Figs. 8 and 9 that nonblind detection increases the separation between the values of the detection statistics that are mapped to  $\{-1, 0, +1\}$ .

We present histograms of the  $T_N(i)$  statistics from several collusion cases with different distortions applied to the colluded Lenna images in Fig. 9. For each collusion and/or distortion scenario, we used ten independent sets of basis vectors to generate the fingerprints. Each set consists of 16 basis vectors to represent 16 ACC code bits. Fig. 9 shows the histograms of the blind and nonblind detection scenarios, as well as the single-user, two-colluder, and three-colluder cases. We see that there is a clear distinction between the three decision regions corresponding to  $\{-1, 0, +1\}$ , which is desirable for identifying col-

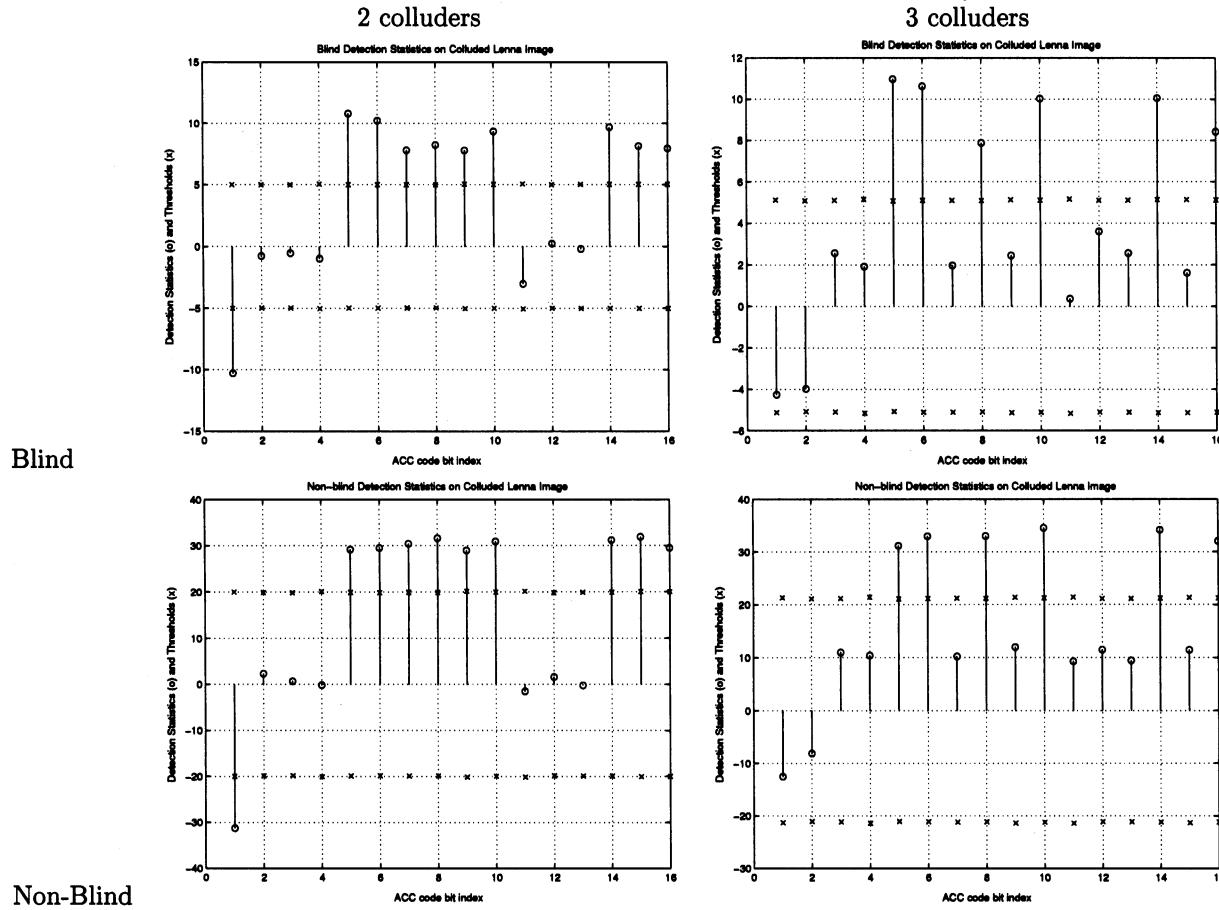


Fig. 8. Example detection statistics values for (left) two users' and (right) three users' collusion with a  $(16, 4, 1)$ -BIBD AND-ACC fingerprint. (Top) Blind detection scenario and (bottom) nonblind detection scenario. (Left) Users 1 and 4 perform averaging, resulting in the output of the detector as  $(-1, 0, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0, 1, 1, 1)$ . (Right) Users 1, 4, and 8 average, resulting in the output of the detector as  $(0, 0, 0, 0, 1, 1, 0, 1, 0, 0, 0, 1, 0, 1)$ .

luders. This implies that the average magnitude of  $T_N$ , when the bit values agree, is much larger than the average magnitude for where the bit values disagree, therefore facilitating the accurate determination of the AND-ACC codes from colluded images. The statistics  $T_N$  can be used with hard detection to determine the colluders, as depicted in Fig. 8. Similarly, we can use  $T_N$  with other detectors, whose performance was presented in Section IV-C. We have also studied the effect of averaging collusion in the presence of no distortion, JPEG compression, and lowpass filtering. We found that the one and nonone decision regions were well separated, which can lead to reliable identification of colluders.

## V. CONCLUSION

In this paper, we investigated the problem of fingerprinting multimedia content that can resist collusion attacks and trace colluders. We studied linear collusion attacks for additive embedding of fingerprints.

We first studied the effect of collusion upon orthogonal embedding. The traditional detection schemes for orthogonal modulation in embedding applications require an amount of correlations that is linear in the amount of orthogonal basis signals. To address this deficiency, we presented a tree-based detection

algorithm that reduces the amount of correlations from linear to logarithmic complexity and is able to identify  $K$  colluders in a computationally efficient manner.

A further drawback of orthogonal modulation for embedding is that it requires as many orthogonal signals as users. We developed a fingerprinting scheme based on code modulation that does not require as many basis signals as orthogonal modulation in order to accommodate  $n$  users. We proposed anti-collusion codes (ACC) that are used in conjunction with modulation to fingerprint multimedia sources. Our ACCs have the property that the composition of any subset of  $K$  or fewer codevectors is unique, which allows for the identification of subgroups of  $K$  or fewer colluders. We constructed binary-valued ACC under the logical AND operation using combinatorial designs. Our construction is suitable for both the on-off keying (OOK) and antipodal form of binary code modulation. Further, our codes are efficient in that for a given amount of colluders, they require only  $\mathcal{O}(\sqrt{n})$  orthogonal signals to accommodate  $n$  users. For practical values of  $n$ , this is an improvement over prior work on fingerprinting generic digital data.

We introduced three different detection strategies that can be used with our ACC for identifying a suspect set of colluders. We performed experiments to evaluate the proposed ACC-based fingerprints. We first used a Gaussian signal model to examine

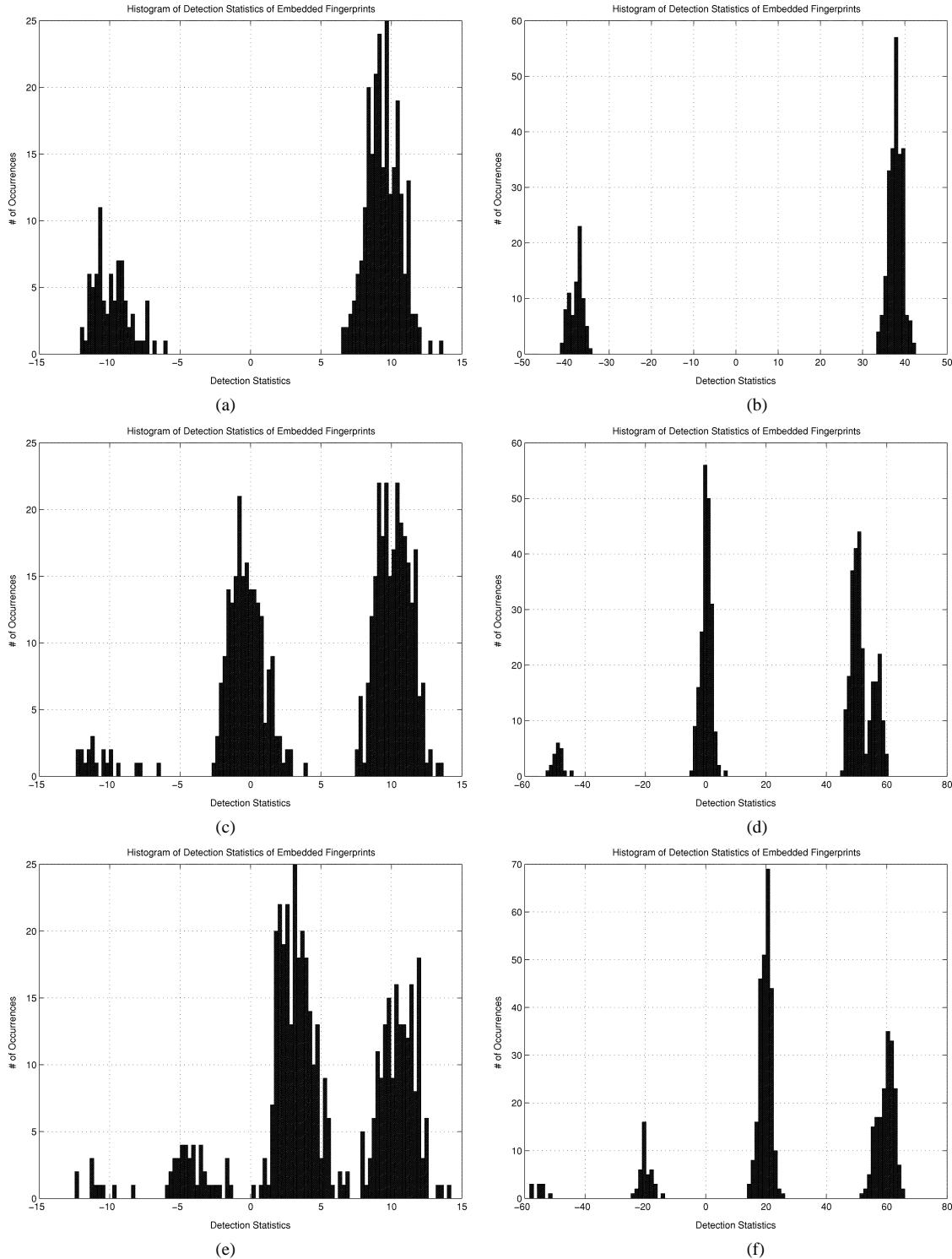


Fig. 9. Histograms of detection statistics  $\{T_N(i)\}$  of embedded fingerprints. (Top row) Single fingerprint case. (Middle row) Two-user collusion case. (Bottom row) Three-user collusion case. (Left column) Blind detection. (Right column) Nonblind detection.

the ability of the ACC to identify the colluders, as well as reveal the amount of innocent users that would be falsely placed under suspicion. We observed a close connection between the ability to capture colluders and the side-effect of placing innocent users under suspicion. From our simulations, we observed that the proposed sequential detection scheme provides the most promising balance between capturing colluders and placing innocents under suspicion out of the three detection strategies examined. We also evaluated our fingerprints on real images and

observed that the values of the detection statistics can be well separated. This behavior allows the detector to accurately determine the colluder set by estimating a fingerprint codevector that corresponds to the colluder set.

## APPENDIX

We prove the theorem by working with the blocks  $A_j$  of the BIBD. The bitwise complementation of the column vectors cor-

responds to complementation of the sets  $\{A_j\}$ . We would like for  $\bigcap_{j \in J} A_j^C$  to be distinct over all sets  $J$  with cardinality less than or equal to  $k - 1$ . By De Morgan's law, this corresponds to uniqueness of  $\bigcup_{j \in J} A_j$  for all sets  $J$  with cardinality less than or equal to  $k - 1$ . Suppose we have a set of  $k - 1$  blocks  $A_1, A_2, \dots, A_{k-1}$ ; we must show that there does not exist another set of blocks whose union produces the same set. There are two cases to consider. First, assume there is another set of blocks  $\{A_i\}_{i \in I}$  with  $\bigcup_{j \in J} A_j = \bigcup_{i \in I} A_i$  such that  $I \cap J = \emptyset$  and  $|I| \leq k - 1$ . Suppose we take a block  $A_{i_0}$  for  $i_0 \in I$ . Then,  $A_{i_0}$  must share at most one element with each  $A_j$ ; otherwise, it would violate the  $\lambda = 1$  assumption of the BIBD. Therefore, the cardinality of  $A_i$  is at most  $k - 1$ , which contradicts the requirement that each block have  $k$  elements. Thus, there does not exist another set of blocks  $\{A_i\}_{i \in I}$  with  $\bigcup_{j \in J} A_j = \bigcup_{i \in I} A_i$  and  $I \cap J = \emptyset$ . Next, consider  $I \cap J \neq \emptyset$ . If we choose  $i_0 \in I \setminus (I \cap J)$  and look at  $A_{i_0}$ , then again, we have that  $A_{i_0}$  can share at most one element with each  $A_j$  for  $j \in J$ , and thus,  $A_{i_0}$  would have fewer than  $k$  elements, contradicting the fact that  $A_{i_0}$  belongs to a  $(v, k, 1)$ -BIBD. Thus,  $\bigcup_{j \in J} A_j$  is unique.  $\square$

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**Wade Trappe** (M'02) received the B.A. degree in mathematics from The University of Texas at Austin in 1994 and the Ph.D. degree in applied mathematics and scientific computing from the University of Maryland, College Park, in 2002.

He is currently an assistant professor with the Wireless Information Network Laboratory (WINLAB) and the Electrical and Computer Engineering Department, Rutgers University, Piscataway, NJ. His research interests include multimedia security, information and network security, and computer networking. He is a co-author of the textbook *Introduction to Cryptography with Coding Theory* (Englewood Cliffs, NJ: Prentice-Hall, 2001).

Dr. Trappe received the George Harhalakis Outstanding Systems Engineering Graduate Student Award while at the University of Maryland. He is a member of the IEEE Signal Processing and Information Theory Societies and a member of the Society for Industrial and Applied Mathematics.



**Min Wu** (S'95–M'01) received the B.E. degree in electrical engineering and the B.A. degree in economics from Tsinghua University, Beijing, China, in 1996 (both with the highest honors) and the M.A. and Ph.D. degrees in electrical engineering from Princeton University, Princeton, NJ, in 1998 and 2001, respectively.

She was with NEC Research Institute and Signafy, Inc., Princeton, in 1998 and with the Media Security Group, Panasonic Information and Networking Laboratories, Princeton, in 1999. Since 2001, she has been an Assistant Professor with the Department of Electrical and Computer Engineering, the Institute of Advanced Computer Studies, and the Institute of Systems Research, the University of Maryland, College Park. Her research interests include information security, multimedia signal processing, and multimedia communications. She holds three U.S. patents on multimedia data hiding. She co-authored the book *Multimedia Data Hiding* (New York: Springer-Verlag, 2002). She is a Guest Editor of a special issue on Multimedia Security and Rights Management of the *EURASIP Journal on Applied Signal Processing*.

Dr. Wu received a CAREER award from the U.S. National Science Foundation in 2002 and is a member of the IEEE Technical Committee on Multimedia Signal Processing, Publicity Chair of 2003 IEEE International Conference on Multimedia and Expo (ICME'03, Baltimore, MD).



**K. J. Ray Liu** (F'03) received the B.S. degree from the National Taiwan University, Taipei, Taiwan, R.O.C., in 1983 and the Ph.D. degree from the University of California, Los Angeles, in 1990, both in electrical engineering.

He is Professor of electrical and computer engineering with the Department of Electrical and Computer Engineering and Institute for Systems Research, University of Maryland, College Park. His research interests span broad aspects of signal processing algorithms and architectures, multimedia communications and signal processing, wireless communications and networking, information security, and bioinformatics, in which he has published over 250 refereed papers. He was the Editor-in-Chief of *EURASIP Journal on Applied Signal Processing* and an editor of the *Journal of VLSI Signal Processing Systems*. He is a co-author of *Design of Digital Video Encoder: A Complete Compressed Domain Approach* (New York: Marcel Dekker, 2001) and a co-editor of *High Performance VLSI Signal Processing I: System Design and Methodology; II: Algorithms, Architectures, and Applications* (New York: IEEE Press, 1998).

Dr. Liu is the recipient of numerous awards including the 1994 National Science Foundation Young Investigator Award, the IEEE Signal Processing Society's 1993 Senior Award (Best Paper Award), and the IEEE 50th Vehicular Technology Conference Best Paper Award (Amsterdam, The Netherlands, 1999). He also received the George Corcoran Award in 1994 for outstanding contributions to electrical engineering education and the Outstanding Systems Engineering Faculty Award in 1996 in recognition of outstanding contributions in interdisciplinary research, both from the University of Maryland. He is the Editor-in-Chief of *IEEE SIGNAL PROCESSING MAGAZINE*. He has served as an Associate Editor of *IEEE TRANSACTIONS ON SIGNAL PROCESSING*, a Guest Editor of special issues on Multimedia Signal Processing for the *PROCEEDINGS OF THE IEEE*, a Guest Editor of special issue on Signal Processing for Wireless Communications of *IEEE JOURNAL OF SELECTED AREAS IN COMMUNICATIONS*, a Guest Editor of the special issue on Multimedia Communications over Networks of the *IEEE SIGNAL PROCESSING MAGAZINE*, and a Guest Editor of special issue on Multimedia over IP of *IEEE TRANSACTIONS ON MULTIMEDIA*. He has served as Chairman of Multimedia Signal Processing Technical Committee of IEEE Signal Processing Society.



**Z. Jane Wang** (M'02) received the B.Sc. degree from Tsinghua University, Beijing, China, in 1996, with the highest honors, and the M.Sc. and Ph.D. degrees from the University of Connecticut, Storrs, in 2000 and 2002, respectively, all in electrical engineering.

She is currently Research Associate with the Electrical and Computer Engineering Department and Institute for Systems Research, University of Maryland, College Park. Her research interests are in the broad areas of statistical signal processing, information security, and wireless communications.

Dr. Wang received the Outstanding Engineering Doctoral Student Award while at the University of Connecticut.